OUT OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

PA MECEIVE.
454313-344 CENTER 1600/290

Applicant

Jean-Christophe AUDONNET et al.

Serial No.

09/766,442

Filed

January 19, 2001

For

IMPROVED DNA VACCINES FOR FARM ANIMALS,

IN PARTICULAR BOVINES AND PORCINES

745 Fifth Avenue New York, New York 10151 Tel. (212) 588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commissioner of Patents and Trademarks Washington, DC 20231, on October 3, 2001.

William S. Frommer, Reg. No. 25,506

Name of Applicant, Assignee or Registered Representative

Overan / Signature

October 3, 2001

Date of Signature

## SECOND REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This is a Second Request to correct the Filing Receipt issued in the above-identified application, in order to correct the noted inadvertent errors. A copy of the Filing Receipt with the requested corrections noted thereon in red ink is enclosed.

It is believed that no fees are required for this correction. However, if any fees are required, or if any overpayment has been made, please charge Deposit Acct. No. 50-0320.

Respectfully submitted, FROMMER LAWRENCE & HAUG LLP Attorneys for Applicants

> William S. Frommer Reg. No. 25,506 Tel. (212) 588-0800

Enc. - Copy of Filing Receipt





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## United States Patent and

UNITED STATES PATENT AND TRADEMARK WASHINGTON, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO       | DRAWINGS | TOT CLAIMS | IND CLAIMS |  |
|--------------------|-------------|--------------|---------------|----------------------|----------|------------|------------|--|
| 09/766,442         | 01/19/2001  | 1641         | 710           | 454313-<br>3154.2 ** | 2        | 20         | 1          |  |

**CONFIRMATION NO. 8693** 

FILING RECEIPT

\*OC000000006161746\*

William S. Frommer FROMMER LAWRENCE & HAUG LLP 745 Fifth Avenue New York, NY 10151

DOCKETED

Date Mailed: 06/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jean-Christophe Francis Audonnet, Lyon, FRANCE; Laurent Bernard Fischer, Lyon, FRANCE; Simona Barzu-Le-Roux, Lentilly, FRANCE;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/193,126 03/30/2000 THIS APPLN IS A COUTINIVATION-IN-PART OF APPLN ON APPLICATION NO. 09/760,574 FILED OILLO Foreign Applications

FRANCE 00 00798 01/21/2000

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If Required, Foreign Filing License Granted 06/07/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

TM PROJED DNA vaccines for farm animals, in particular bovines and porcines





| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTY, DOCKET NO. |
|--------------------|-------------|-----------------------|------------------|
| 09766442           | 01/19/01    | AUDONNET              | 454313-3154.20   |

William S. Frommer FROMMER LAWRENCE & HAUG LLP 745 Fifth Avenue New York, NY 10151

Date Mailed: 11/15/01

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Publication and General Rules Issues

| In response to your request for a corrected Filing Receipt, the Office is unable to comply with request because:  | the |
|---|-----|
| The articles such as "a", "an", and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.  |     |
| The words "new", "improved", "improvement of", "improvement in", or "improvement relati to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement. See MPEP 606. | ng  |
| The title appears on the filing receipt in sentence case for publication in the Annual Index Patents.   | of  |
| Amendments are not accepted in provisional applications. See 37 CFR 1.53(c).  |     |
| An amendment or Application Data Sheet is needed to make this change.   |     |
| The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. See MPEP 605.02.  |     |
| Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an amendment or Application Data Sheet                            |     |

| a ,   |             |
|---|-------------|
| Small entity status was previously claimed in this application. To notify the Office of a loss o entitlement to small entity status, see 37 CFR 1.27(g). To have a good faith error in claiming small entity status excused, see 37 CFR 1.28(c).  | TECH CENT   |
| entitlement to small entity status, see 37 CFR 1.27(g). To have a good faith error in claiming small entity status excused, see 37 CFR 1.28(c).  The request for non-publication was not timely filed. A request for non-publication must be submitted upon filing of an application. The application is scheduled to be published on the date specified on the filing receipt. | ER 1600/290 |
| The request for non-publication has not been recognized because it is not conspicuous as required by 37 CFR 1.213.  | 0           |
| There was no prior request for non-publication in this application. The request to rescind the non-publication request will not be processed.   | е           |
| Your request for non-publication will not be acknowledged because this application is not eligible for publication. Only utility and plant applications filed on or after November 29, 2000 are eligible for publication.   | 0           |
| The "Non-Publication Request" indicator is correct. If there was a proper request submitted at the time of filing, the notation on the filing receipt will be "Yes". If no such request was made, the notation will be "No".  |             |
| C.L.  |             |

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